



GAU-1652

PATENT APPLICATION
File No.: 97-16D1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

In re Application of: Paul O. Sheppard

APR 27 2001

Serial No.: 09/658,677 Group Art Unit:

TECH CENTER 1600/2900

Filed: September 8, 2000 Examiner:

For: SERINE PROTEASE POLYPEPTIDES AND MATERIALS AND METHODS
FOR MAKING THEM

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

Commissioner for Patents
Washington, DC 20231

Sir:

I hereby certify that the attached correspondence,
comprising:

1. Information Disclosure Statement
2. PTO-1449 Form
3. Copies of 120 References
4. Return Postcard

is being deposited with the United States Postal Service as first
class mail in an envelope addressed to:

Commissioner for Patents
Washington, DC 20231

on April 23, 2001.

Amy Toman
Amy Toman



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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents

Washington, DC 20231

Sir:

In accordance with 37 C.F.R. 1.56, 1.97 and 1.98, Applicant submits herewith references which they believe may be material to the examination of this application and with respect to which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

In accordance with 37 C.F.R. 1.97, the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been conducted, nor shall it be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b).

The references are listed in PTO form 1449 which is in accordance with the requirements of M.P.E.P. 609. Document A5 is an excerpt from WIPO Publication WO 95/14772. The original document is in Japanese. An English summary is enclosed. Applicant wishes to direct the attention of the Office to SEQ ID NO: 3166 at page 1060.

Applicant believes that his invention as claimed is patentable over the references. It is respectfully requested

that these references be considered by the Patent and Trademark Office in its examination of the above-identified application and be made of record therein. The Examiner is also invited to contact the Undersigned if there are any questions concerning this paper or the attached references.

Respectfully submitted,



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